



PATENT
5760-18500/VRTS 0453

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/732,727
Filed: December 10, 2003
Inventors:
Dhrubajyoti Borthakur, Nur
Premo

Title: System And Method For
Providing Programming-
Language-Independent
Access To File System
Content

§ Examiner: Mizrahi, Diane D.
§ Group/Art Unit: 2165
§ Atty. Dkt. No: 5760-18500
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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

B. Noël Kivlin

Printed Name

Signature

Date

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant requests consideration of ☒ the references listed on the attached Form PTO-1449 and/or ☐ the additional information identified below in paragraph 3.

- ☒ Pursuant to CFR §1.98(a)(2)(i), copies of the cited U.S. patents and/or U.S. patent application publications are not enclosed.
- ☒ A copy of each non-U.S. patent and/or non-U.S. patent application publication reference listed on the Form PTO-1449 is enclosed.
- ☐ The references listed on the Form PTO-1449 were previously cited in application serial no. _____ to which the captioned application is seeking priority under 35 U.S.C. §120; therefore, a copy of each reference is not enclosed.

1. This Information Disclosure Statement is submitted:

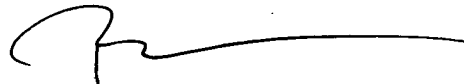
- a. ☐ within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);

- ☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;
- ☐ before the mailing date of a first Office Action on the merits; or
- ☐ before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- b. ☒ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☒ a fee of \$180.00 is enclosed.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.
2. It is hereby certified:
- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.
3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:
4. For each non-English language reference listed on the attached Form PTO-1449:
- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or

- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:
5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of a foreign search report citing these references.
7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5790-18500.

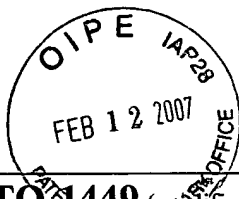
Respectfully submitted,



B Noël Kivlin
Reg. No. 33,929
ATTORNEY FOR APPLICANT(S)

Meyertons, Hood, Kivlin, Kowert, & Goetzel, P.C.
P.O. Box 398
Austin, TX 78767-0398
Phone: (512) 853-8840

Date: 2-1-07



Form PTO-1449 (modified)
 List of Patents and Publications
 For Applicant's Information
 Disclosure Statement
 (Use several sheets if necessary)

ATTY. DKT. NO. 5760-18500

SERIAL NO. 10/732,727

APPLICANT: Borthakur et al.

GROUP: 2165

FILING DATE: December 10, 2003

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	B1	2005/0114381	5/26/05	Borthakur et al.			
	B2	2006/0004759	1/5/06	Borthakur et al.			
	B3	2005/0114406	5/26/05	Borthakur et al.			
	B4	2005/0114363	5/26/05	Borthakur et al.			
	B5	2005/0038813	2/17/05	Apparao et al.			
	B6	2002/0049731	4/25/02	Kotani			
	B7	6,240,429	5/29/01	Thornton et al.			
	B8	2003/0093556	5/15/03	Yeung et al.			
	B9	2003/0151633	8/14/03	George et al.			
	B10	6,389,538	5/14/02	Gruse et al.			
	B11	6,240,401	5/29/01	Oren et al.			
	B12	2001/0025311	9/27/01	Arai et al.			
	B13	2003/0172368	9/11/03	Alumbaugh et al.			
	B14	2004/0002942	1/1/04	Pudipeddi et al.			
	B15	2004/0059866	3/25/04	Patel et al.			
	B16	2005/0015461	1/20/05	Richard et al.			
	B17	6,286,013	9/4/01	Reynolds et al.			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	B18	"The Business Rationale for Distributed (Remote) Document Capture," Verity White Paper, October 2003, downloaded from http://www.cardiff.com/cms/groups/public/documents/collateral/mk0535.pdf , 12 pages.					
	B19	"Native XML Management With Documentum," Documentum Technical White Paper, July 2003, downloaded					

EXAMINER:

DATE CONSIDERED:

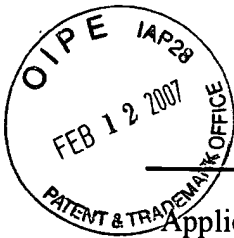
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.

Form PTO-1449 (modified) List of Patents and Publications For Applicant's Information Disclosure Statement (Use several sheets if necessary)			ATTY. DKT. NO. 5760-18500 APPLICANT: Borthakur et al. FILING DATE: December 10, 2003		SERIAL NO. 10/732,727 GROUP: 2165		
U.S. PATENT DOCUMENTS							
EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
		from http://software.emc.com/collateral/content_management/documentum_family/wp_tech_xml.pdf , 15 pages.					
	B20	Autonomy XML White Paper, October 2003, downloaded from http://www.autonomy.com/content/downloads/White%20Papers/index.en.html , 11 pages.					
	B21	Autonomy Technology White Paper, November 2003, downloaded from http://www.autonomy.com/content/downloads/White%20Papers/index.en.html , 19 pages.					
	B22	"DCMI Abstract Data Model," Dublin Core Metadata Initiative, February 2004, downloaded from http://www.ukoln.ac.uk/metadata/dcmi/abstract-model/2004-02-04/ , 17 pages.					
	B23	Staples et al., "The Fedora Project: An Open-source Digital Object Repository Management System," in D-Lib Magazine, v. 9, no. 4, April 2003, downloaded from http://web.archive.org/web/20040203065018/www.dlib.org/dlib/april03/staples/04staples.html , 12 pages.					
	B24	Wildermuth, "A Developer's Perspective on WinFS: Part 1," March 2004, downloaded from http://web.archive.org/web/20050414063209/http://msdn.microsoft.com/data/winfs/default.aspx?pull=/library/en-us/dnwinfst/html/winfsdevpersp.asp , 10 pages.					

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B. Noël Kivlin

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FEE AUTHORIZATION

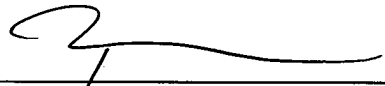
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fee to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 50-1505/5790-18500:

Fee: Information Disclosure Statement
Amount: \$180.00
Attorney Docket No.: 5790-18500

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number.

Respectfully submitted,


B Noël Kivlin
Reg. No. 33,929
ATTORNEY FOR APPLICANT(S)

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Date: 2-7-07